



SHANKS & HERBERT

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SECTOR
\$

November 21, 2001

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Re: U.S. Patent Application
Serial No.: 09/938,292
Filed: August 24, 2001
For: Reversible Child Resistant Closure
Inventors: David Miceli, et al.
Attorney Docket No.: 0152-0019

Sir:


The following documents are forwarded herewith for appropriate action by the
U.S. Patent and Trademark Office:

1. Response to Notice to File Missing Parts of Application;
2. Copy of Notice to File Missing Parts of Nonprovisional Application;
3. Executed Combined Declaration and Power of Attorney for Patent Application;
4. Three (3) Substitute Drawings;
5. Preliminary Amendment;
6. Appendix A: Marked-up Version to Show Amendments made to Abstract;
7. Check in the amount of \$840.00 (\$710.00-filing fee; \$130.00-surcharge); and
10. One (1) return postcard

It is respectfully requested that the attached postcard be stamped with the date of
filing of these documents, and that it be returned to our courier.

Respectfully submitted,

SHANKS & HERBERT


Shelly Guest Cermak
Reg. No. 39,571

SGC/CEA/bdc
Encls.

09938292-112101

#3



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 UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,292	08/24/2001	David Miceli	0152-0019P

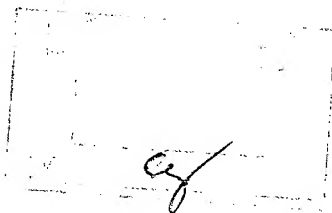
CONFIRMATION NO. 1029

FORMALITIES LETTER



0C000000006799081

Shanks & Herbert
 TransPotomac Plaza
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Date Mailed: 09/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/23/2001 NROCHA1 00000027 500622 09938292

FILED UNDER 37 CFR 1.53(b)

01 FC:110 740.00 OP
 02 FC:110 30.00 CH 100.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).


- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09030202.112101



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Application of:

David Miceli, et al.

Serial No. 09/938,292

Filed: August 24, 2001

For: Reversible Child Resistant Closure

Art Unit: To be assigned

Examiner: To be assigned

Atty. Docket: 0152-0019

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner of Patents
Washington, D.C. 20231

Attention: Manager, Application Branch

Sir:

In response to the communication of September 27, 2001, Applicants submit a Declaration/Power of Attorney for filing in the above-identified application, Substitute drawings, a copy of the Notice of Missing Parts and the required fee of \$840.00.

Please associate the enclosed declaration with the above-identified application.


If there are any other fees due in connection with the filing of this response, please charge the fees to our Deposit Account No. 50-0622. If a fee is required

for an extension of time under 37 C.F.R. § 1.136 not accounted for above, such an extension is requested and the fee should also be charged to our Deposit Account.

Respectfully submitted,

SHANKS & HERBERT

By: _____


Shelly Guest Cermak
Reg. No. 39,571

Date: 11-21-01

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